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CORTEZ III SERVICE CORPORATION NASA/GODDARD SPACE FLIGHT CENTER CODE 239, BLDG 27 GREENBELT, MD 20771

FAX (301) 286-1774

DATE:	Feb. 22, 2001	#PAGES (including cover sheet)
, ГО: _	STANLEY R. SCHNEIDER	
AT: PHONI	E (VOICE/FAX)	

FROM: ODELL YOUNG, IMPORT/EXPORT SPECIALIST

PHONE: (301) 286-6388

EMAIL: oyoung@pop200.gsfc.nasa.gov

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Comments:

DEPT OF STATE, CASE #DSP-5 813422

STAN.

PLEASE REVIEW THE ATTACHED CASE AND ADVISE IF IT IS IN ACCORDANCE WITH YOUR PROGRAM REQUIREMENTS. YOUR REPLY VIA EMAIL WITHIN THE NEXT SEVEN DAYS WILL BE GREATLY APPRECIATED.

ODELL



DTC CASE REFERRAL DOCUMENT

DATE STAFFED

DTC CASE NUMBER

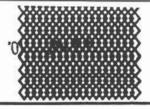
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8 13422

LICENSE NO.



LICENSE VALID FOR MONTHS FROM ABOVE DATE



UNITED STATES OF AMERICA

DEPARTMENT OF STATE

APPLICATION/LICENSE FOR PERMANENT EXPORT OF UNCLASSIFIED DEFENSE ARTICLES AND RELATED UNCLASSIFIED TECHNICAL DATA

Date Prepared		2. PM/DTC Applicant/Registrant	Code	3. Country of Ultimate Destination		4. Probable Port of Exit from U.
15 January 2001	Ü	1204-1719		United Kingdom		Los Angeles, CA New York, NY
Applicant Gen Aer	tis: Governoord General General Corp	Address, ZIP Code, Tel. No. rnment Manufacturer Exporte eral Corp. lyvale Street	r/freight forwarder	Navy D Other MASA S	ommodity . Whyte/PCO . Krimchansk	301-286-3230 y/COTR 301-286-9843
Azu TELEPHO	DNE NUMBE	526 912 1420	=	additional information. Carol Brenson 626-8	12-1439	
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22. LICENSE TO BE		Name, address, ZIP code		☐ The applicant, or another parts		ot meet one or more of the conditio



APPLICATION/LICENSE FOR PERMANENT EXPORT OF UNCLASSIFIED DEFENSE ARTICLES AND RELATED UNCLASSIFIED TECHNICAL DATA

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~~~~~~~~		eral Corp.	7. Name and telephone number		//COTR 301-286-9843
THE PERSONNEL PROPERTY OF THE PERSONNEL PROP	tusa, CA	lyvale Street 91702	additional information.	812-1439	
TELEPH	HONE NUMB	BER: 626-812-1439			
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				13. TOTAL VAL	UE: \$ _0_
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16. Name and add	ress of foreig	n consignee	17. Name and address of seller	in United States	
Same as Blo	ock 14		Aerojet 1100 W. Hollyvale Str Azusa, CA 91702 (NO FOREIGN "SALE" UN		ISE.)
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20. Specific purpos program/end ite		the material is required, including specific	21. APPLICANT'S STATEMENT	Managar Managar Managar	2011 H (GI)
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IXXXXXX/	n: Carol Box 296	Brenson, 59-1/1321	The state of the s		ot meet one or more of the conditions y is attached.
0000000)	sa, CA 9		and 22 CFR 130 as listed on the attached letter for such certificat	a applicant to certify the reverse of this form had ion.	hat the conditions of 22 CFR 126.13 ave been met in full. Please see the
******			Signature Carol	Brenso	
MRO-	12	1 ADDITO	ATION/LICENSE		OMB APPROVAL NO. 1405-0003

10-93 DSP-5

1 APPLICATION/LICENSE

EXPRATION DATE: 12-31-95 "ESTIMATED BURDEN: 1/2 HOUR



Tel: 626-812-1439 Fax: 626-969-9010 Carol.Brenson@Aerojet.com

15 January 2001 1321:01:00823

Mr. William J. Lowell, Director Office of Defense Trade Controls PM/DTC SA-1, Room 1304 U.S. Department of State Washington, D.C. 20520

Subject:

DSP-5 License Application for Technical Data

to Thomas Keating Ltd. of the United Kingdom

Applicant Code:

1204-1719

Munitions List Category: Category XV(f)/Technical Data related to XV(e)/Component

Reference:

NASA-Goddard Space Flight Center Contract NAS5-01089

Advanced Technology Microwave Sounder (ATMS)

Dear Mr. Lowell:

Enclosed is an application for the export of unclassified technical data to Thomas Keating Ltd in Billingshurst West Sussex, England, United Kingdom. Data (statements of work, drawings, specifications, requirements information) sufficient to allow Thomas Keating Ltd. to provide cost estimates for their design and fabrication (offshore procurement) of millimeterwave (MMW) antenna components would be exported. These components (polarizing grids, diplexer and feedhorn) are to be used in a remote sensing meteorological satellite instrument for NASA. Eight sets of the license application, this cover letter, and preliminary product specifications and statements of work for the diplexer and feedhorn, indicating the type of information that will be provided to the U.K. company, along with build to print drawings for the large and small polarizing grids.

Thomas Keating Ltd. an industry leader in the design and fabrication of MMW radiometer antenna components, has the capability to design and manufacture the items to our specifications without any assistance from Aerojet. However, Aerojet expects to submit a Technical Assistance Agreement in the future, to facilitate activities (i.e., preliminary design reviews for the diplexer and feedhorn) with this potential vendor that may exceed the initial export authorization of this DSP-5.

The components expected to be procured from Thomas Keating Ltd. will be incorporated into deliverable hardware for NASA. Under the referenced contract, Aerojet will build the Advanced Technology Microwave Sounder (ATMS), a next generation, satellite microwave instrument for use and climate change research. The instrument will measure microwave

A GenCorp Company

I COVER LETTER

Aerojet to ODTC 1321:01:00823

energy emitted and scattered by the atmosphere. When combined with observations from an infrared sounder, ATMS will provide daily global atmospheric temperature, moisture, and Aerojet to ODTC pressure profiles. The first ATMS will fly on the National Polar-Orbiting Operational Environmental Satellite System (NPOESS) Preparatory Project mission, a joint effort between NASA and the NPOESS program office. NPOESS is a tri-agency program, including NASA, NOAA and the U.S. Air Force.

If additional information is required, please contact the undersigned at (626) 812-1439, telefax (626) 969-9010, or Chuck Abernethy, our Washington D.C. representative at (202) 828-6816.

A pre-addressed Federal Express airbill is enclosed to facilitate ODTC's response to this application.

Yours truly,

Carol Brenson

Export Control Manager

arol Brenson

Enclosures: 9 sets

Application

Cover Letter
Drawing 1362336 for Polarizing (

Drawing 1362336 for Polarizing Grid, Large Drawing 1362337 for Polarizing Grid, Small

G Feedhorn Product Specification Flysheet (preliminary)

G Feedhorn Statement of Work

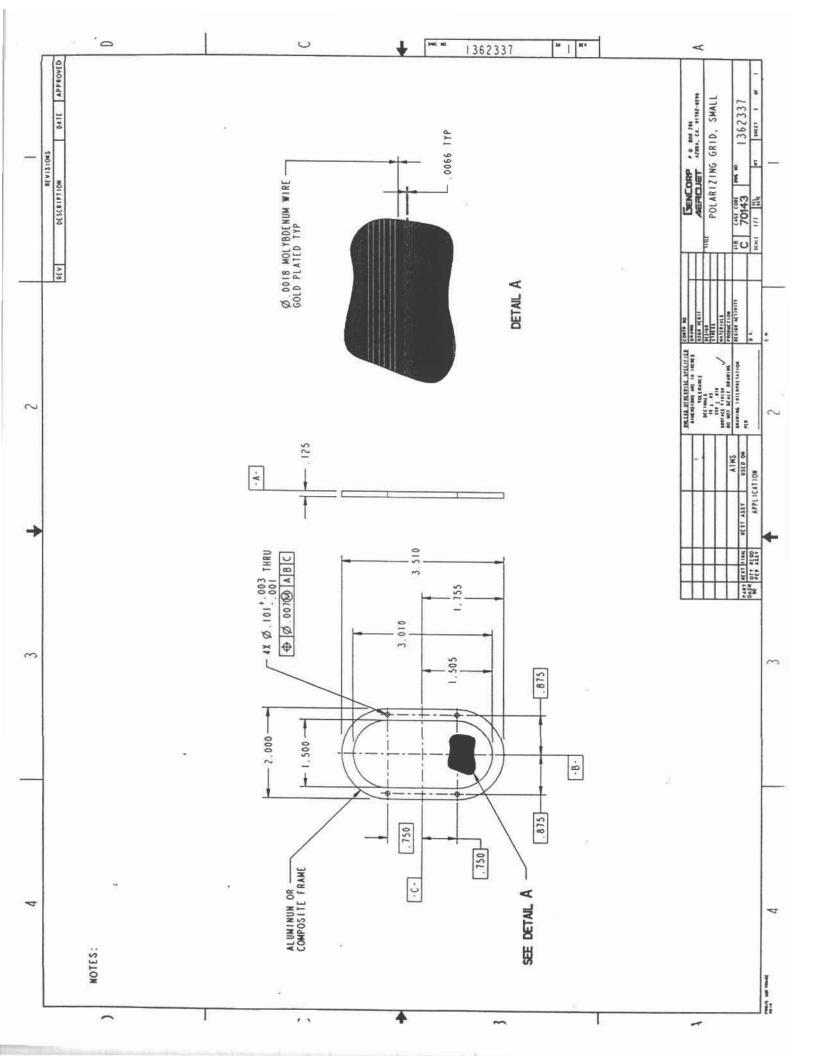
G Diplexer Product Specification Flysheet (preliminary)

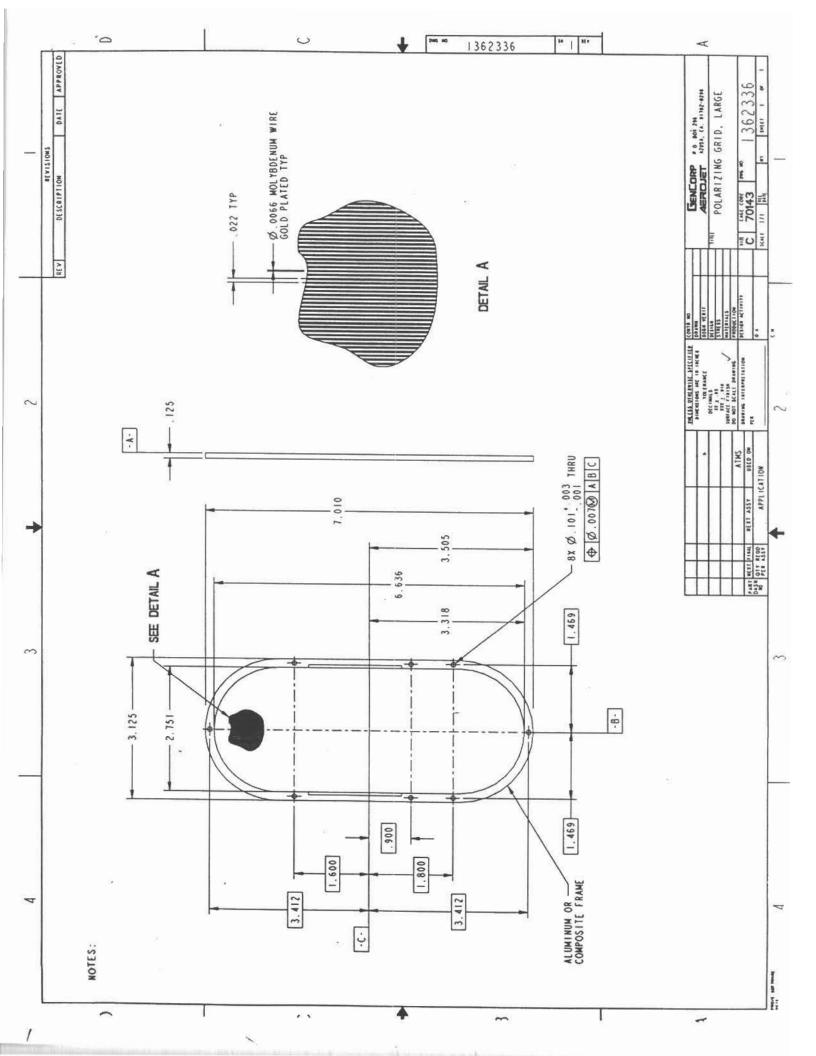
G Diplexer Statement of Work

cc:

Mr. Christopher Whyte

NASA Goddard Space Flight Center









G Diplexer Product Specification Fly Sheet

# **G** Diplexer

# **Product Specification Flysheet**

Aerojet intends to replace this document with a full product specification, the sole purpose of this document is to demonstrate to the United States State Department the type of parameters which must be conveyed to a foreign entity in order to facilitate the design and development of the G Diplexer.

# 1. Product Functional Description

Under a NASA contract, Aerojet will build the Advanced Technology Microwave Sounder (ATMS), a next generation, satellite microwave instrument for use in weather forecasting and climate change research. The instrument will measure microwave energy emitted and scattered by the atmosphere. When combined with observations from an infrared sounder, ATMS will provide daily global atmospheric temperature, moisture, and Aerojet to ODTC pressure profiles. The first ATMS will fly on the National Polar-Orbiting Operational Environmental Satellite System (NPOESS) Preparatory Project mission, a joint effort between NASA and the NPOESS program office. NPOESS is a tri-agency program, including NASA, NOAA and the U.S. Air Force.

The G Diplexer receives the output from a feedhorn, which collects the energy for channels 17-22 of the instrument, and separates channel 17 from the 18-22 channels. This device has 3 ports: Port 1 is the input port and receives the signal from the feedhorn, Port 2 is the through output port and allows the energy for channels 18-22 to pass through, and Port 3 is the side output port which passes the energy for channel 17.

# 2. Product Physical Description

The maximum envelope for the G Diplexer dimensions are shown in Figure 1. The maximum weight for this device shall be  $\leq 0.07$  Kg.

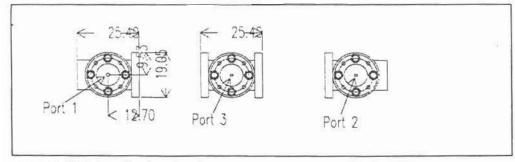


Figure 1 G Diplexer Envelope Specification



G Diplexer Product Specification Fly Sheet

# 3. Frequency and Bandwidth Designations

For the purpose of the specifications contained within this flysheet, the frequency and bandwidths for the channels shall be as shown in Table 1.

Channel	Frequency (Ghz)	Bandwidth (Mhz)
17	166.30	3,000
18	183.31±7.0	2,000
19	183.31±4.5	2,000
20	183.31±3.0	1,000
21	183.31±1.8	1,000
22	183.31±1.0	500

Table I G Diplexer Frequency and Bandwidth designations.

## 4. VSWR

The input VSWR shall be as shown in Table 2.

Port	Channel	VSWR
1	17	≤ 1.5:1
	18-22	≤ 1.5:1
2	17	≤ 1.5:1
	18-22	≤ 1.5:1
3	17	≤ 1.5:1 .
	18-22	≤ 1.5:1

Table II G Diplexer VSWR Requirements

#### 5. Transmission Insertion Loss

The transmission insertion loss of the G Diplexer shall be as shown in Figure III.

Port	Channel	Transmission Insertion Loss
1	17 -	≤ 1.5 dB
	18-22	≤ 1.5 dB
2-	17	≤ 1.5 dB
	18-22	≤ 1.5 dB
3	17	≤ 1.5 dB
	18-22	≤ 1.5 dB

Table III G DiplexerTransmission Insertion Loss Requirements

## 6. RF Isolation ...

The RF Isolation from Port 1 to Port 2 for the frequencies of channel 17 shall be  $\geq$  30 dB, while the isolation from Port 1 to Port 3 for the frequencies of channels 18-22 shall be  $\geq$  30 dB.



G Diplexer Product Specification Fly Sheet

#### 7. Electrical Isolation

The G Diplexer shall provide electrical isolation between itself and the feedhorn attachment at Port 1.

#### 8. Structural Loads

The G Diplexer shall be able to operate following a launch environment. For the purposes of this specification, the G Diplexer shall operate after being exposed to 14 g_{rms} random vibration (TBR).

# 9. Operating Temperature

The G Diplexer shall meet all of the performance criteria throughout the temperature range of  $-8^{\circ}$  C to  $+45^{\circ}$  C.

# 10. Survival Temperature

The G Diplexer shall be able to survive the temperature range of -30° C to +45° C.



# G Diplexer Statement of Work

Aerojet shall deliver to the vendor a Purchase Order, this Statement of Work, and the G Diplexer Product Specification with the precedence of the documents being in the aforementioned order.

The Supplier shall design a G Diplexer as prescribed in these documents and deliver to Aerojet the following items:

# Detailed Development Plan

The Detailed Development Plan shall be complete with task durations, applied resources, and sufficient milestones to implement an earned value reporting system.

# 2. Monthly Earned Value Reports

The Monthly Earned Value Reports shall commence one month after the delivery of the Detailed Development Plan, and shall be delivered every month for the duration of the contract. These reports shall report both CPI and SPI consistent with ANSI/EIA 748. In the event that either CPI or SPI fall below a level of 0.90, the Monthly Earned Value Report shall contain a plan for correcting the deficit.

# 3. Preliminary Design Review (PDR)

Upon completion of the preliminary design, the Supplier shall host a Preliminary Design Review (PDR), which will serve as a gate to proceed with continued design, breadboard, and analysis efforts. The PDR shall be held at the Suppliers facility and shall accommodate 3-6 Aerojet personnel. One week prior to the PDR, a preliminary electronic copy of the presentation (Microsoft Powerpoint format) shall be sent to Aerojet. The data contained in the PDR shall be sufficient to demonstrate that with continued design and analysis work, the preliminary design will satisfy all of the requirements specified in AE-TBD. As a minimum the PDR package shall address the following items:

- Preliminary Design Drawings
- Preliminary performance analyses, with analytical modeling to demonstrate that the performance requirements will be satisfied with the current design
- Preliminary structural analysis
- Preliminary thermal analysis
- Preliminary materials analysis
- Preliminary Fabrication, Assembly, and Test flow plan

While preliminary work may be performed prior to the PDR (ordering parts, rough machining), no detailed processing shall occur until the successful completion of the PDR.

# AEROJET

P O Box 296 1100 West Hollyvale Street Azusa CA 91702

## 4. Breadboard Hardware

To validate the PDR design a diplexer shall be fabricated which satisfies the form, fit, and function requirements of the flight hardware. This hardware shall be delivered to Aerojet with test data demonstrating compliance with the specifications.

#### Draft Test Procedure

A draft copy of the G Diplexer Test Procedure shall be delivered to Aerojet in electronic form (Microsoft Word) for review pertaining to satisfying the requirements.

# 6. Critical Design Review (CDR)

Upon completion of the final design, the Supplier shall host a Critical Design Review (CDR), which will serve as a gate to proceed with continued fabrication and test of the EDU and PFM hardware. The CDR shall be held at the Suppliers facility and shall accommodate 3-6 Aerojet personnel. One week prior to the CDR, a preliminary electronic copy of the presentation (Microsoft Powerpoint format) shall be sent to Aerojet. The data contained in the CDR shall be sufficient to demonstrate that the current design will satisfy all of the requirements specified in AE-TBD. As a minimum the PDR package shall address the following items:

- Released Design Drawings
- Final performance analyses, with analytical modeling to demonstrate that the performance requirements will be satisfied with the current design
- · Final structural analysis
- Final thermal analysis
- Final materials analysis
- Released G Diplexer Test Procedure
- · Final Fabrication, Assembly, and Test flow plan

While preliminary work on the EDU hardware may be performed prior to the CDR (ordering parts, rough machining), no detailed processing shall occur until the successful completion of the CDR.

# 7. Engineering Development Unit (EDU)

Fabricate 2 sets of hardware as defined as a result of the Critical Design Review. The test requirements are those stated in the Diplexer Test Procedure, and the packaging requirements are those stated in AE-TBD. Deliverable items for each of these units shall be:

- Diplexer hardware
- · Raw material certifications
- Pre-environmental functional test data
- Environmental test data
- Post-environmental test data



# 8. Proto-Flight Model (PFM)

Fabricate 1 set of hardware as defined as a result of the Critical Design Review, and with the addition of any Aerojet approved changes which may result from completion of the EDU testing. The test requirements are those stated in the Diplexer Test Procedure, and the packaging requirements are those stated in AE-TBD. Deliverable items for this unit shall be:

- · Diplexer hardware
- Raw material certifications
- · Pre-environmental functional test data
- Environmental test data
- Post-environmental test data



G Feedhorn Product Specification Fly Sheet

# **G** Feedhorn

# **Product Specification Flysheet**

Aerojet intends to replace this document with a full product specification, the sole purpose of this document is to demonstrate to the United States State Department the type of parameters which must be conveyed to a foreign entity in order to facilitate the design and development of the G Feedhorn.

## 1. Product Functional Description

Under a NASA contract, Aerojet will build the Advanced Technology Microwave Sounder (ATMS), a next generation, satellite microwave instrument for use in weather forecasting and climate change research. The instrument will measure microwave energy emitted and scattered by the atmosphere. When combined with observations from an infrared sounder, ATMS will provide daily global atmospheric temperature, moisture, and Aerojet to ODTC pressure profiles. The first ATMS will fly on the National Polar-Orbiting Operational Environmental Satellite System (NPOESS) Preparatory Project mission, a joint effort between NASA and the NPOESS program office. NPOESS is a tri-agency program, including NASA, NOAA and the U.S. Air Force.

The G Feedhorn is a corrugated conical feedhorn that collects the energy for channels 17-22 of the instrument, and transmits this energy to the appropriate receiver component for these channels. This device has 2 ports: Port 1 is the input aperture and receives the RF energy from the scene, Port 2 is the output port, which transmits this RF energy by means of a waveguide to the receiver components.

# 2. Product Physical Description

The maximum envelope for the G Feedhorn dimensions are shown in Figure 1 (dimensions are in millimeters). The maximum weight for this device shall  $be \le 0.04$  Kg.

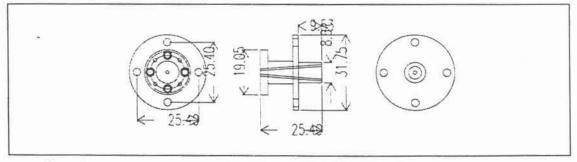


Figure 1 G Feedhorn Envelope Specification



G Feedhorn Product Specification Fly Sheet

# 3. Frequency and Bandwidth Designations

For the purpose of the specifications contained within this flysheet, the frequency and bandwidths for the channels shall be as shown in Table I.

Channel	Frequency (Ghz)	Bandwidth (Mhz)
17	166.30	3,000
18	183.31±7.0	2,000
19	183.31±4.5	2,000
20	183.31±3.0	1,000
21	183.31±1.8	1,000
22	183.31±1.0	500

Table I G Feedhorn Frequency and Bandwidth designations.

## 4. VSWR

The VSWR shall be as shown in Table II.

Port	Channel	VSWR
2	17	≤ 1.2:1
	18-22	≤ 1.2:1
	18-22	≤ 1.2:1

Table II G Feedhorn VSWR Requirements

# 5. Transmission Insertion Loss

The transmission insertion loss of the G Feedhorn shall be as shown in Figure III.

Port	Channel	Transmission Insertion Loss
1 to 2	17	≤ 0.02 dB
	18-22	· ≤ 0.02 dB

Table III G Feedhorn Transmission Insertion Loss Requirements



G Feedhorn Product Specification Fly Sheet

## 6. Feedhorn Radiation Pattern

The antenna Radiation Patterns of the G Feedhorn shall be as stated in Table IV. For this purpose the design shall meet specification at the channel 17 frequency, and the LOW, MID, and HIGH frequencies of the channels 18-22 frequencies.

		Beamwidths		
Channel	Frequency	3 dB	10 dB	20 dB
17	166.30 Ghz	11.8° ± 5%	21.0° ± 5%	28.4° ± 5%
18-22 _{LOW}	175.33 Ghz	11.1° ± 5%	19.7° ± 5%	26.7° ± 5%
18-22 _{MID}	183.33 Ghz	10.8° ± 5%	18.5° ± 5%	25.4° ± 5%
18-22 _{HIGH}	191.33 Ghz	10.3° ± 5%	18.0° ± 5%	24.3° ± 5%

Table IV G Feedhorn Radiation Pattern Specifications

# 7. Structural Loads

The G Feedhorn shall be able to operate following a launch environment. For the purposes of this specification, the G Feedhorn shall operate after being exposed to 14 g_{rms} random vibration (TBR).

## 8. Operating Temperature

The G Feedhorn shall meet all of the performance criteria throughout the temperature range of -8° C to +453 C.

# 9. Survival Temperature

The G Feedhorn shall be able to survive the temperature range of -50° C to +45° C.

# G Feedhorn Statement of Work

Aerojet shall deliver to the vendor a Purchase Order, this Statement of Work, and the G Feedhorn Product Specification with the precedence of the documents being in the aforementioned order.

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The Detailed Development Plan shall be complete with task durations, applied resources, and sufficient milestones to implement an earned value reporting system.

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- Preliminary Design Drawings
- Preliminary performance analyses, with analytical modeling to demonstrate that the performance requirements will be satisfied with the current design
- SWE (Spherical Wave Expansion) Coefficient File compatible with Ticra Grasp8W of the preliminary design
- Preliminary structural analysis
- Preliminary thermal analysis



Preliminary materials analysis

Preliminary Fabrication, Assembly, and Test flow plan
 While preliminary work may be performed prior to the PDR (ordering parts, rough machining),
 no detailed processing shall occur until the successful completion of the PDR.

## Breadboard Hardware

To validate the PDR design a feedhorn shall be fabricated which satisfies the form, fit, and function requirements of the flight hardware. This hardware shall be delivered to Aerojet with test data demonstrating compliance with the specifications.

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- Final materials analysis
- Released G Feedhorn Test Procedure
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While preliminary work on the EDU hardware may be performed prior to the CDR (ordering parts, rough machining), no detailed processing shall occur until the successful completion of the CDR.

# 7. Engineering Development Unit (EDU)

Fabricate 2 sets of hardware as defined as a result of the Critical Design Review. The test requirements are those stated in the Feedhorn Test Procedure, and the packaging requirements are those stated in AE-TBD. Deliverable items for each of these units shall be:

Feedhorn hardware



- Raw material certifications
- · Pre-environmental functional test data
- Environmental test data
- Post-environmental test data

# 8. Proto-Flight Model (PFM)

Fabricate 1 set of hardware as defined as a result of the Critical Design Review, and with the addition of any Aerojet approved changes which may result from completion of the EDU testing. The test requirements are those stated in the Feedhorn Test Procedure, and the packaging requirements are those stated in AE-TBD. Deliverable items for this unit shall be:

- · Feedhorn hardware
- · Raw material certifications
- · Pre-environmental functional test data
- Environmental test data
- · Post-environmental test data